

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): PHUA, et al.

) Group Art Unit:

Serial No.: Not Yet Assigned

) Examiner: Not Yet Assigned

Filed:

)

For: METHOD AND APPARATUS FOR THE MODULATION OF MULTILEVEL  
DATA ARRAYS

To the Assistant Commissioner  
for Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING BY EXPRESS MAIL

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Signature

Rosemary Bell

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

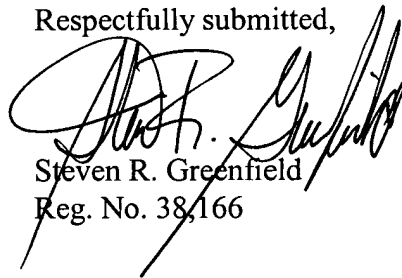
In accordance with Applicant's duty under 37 C.F.R. § 1.56 and 1.97, Applicant hereby submits the attached form PTO-1449 (modified) which lists art cited. The art listed therein, while of some relevance, is not necessarily considered to teach or suggest any aspect of the invention described and claimed in the above-identified patent application. This statement is also not to be construed as a representation that a search has, or has not, been conducted or that no better art exists. Rather, this statement discloses only the best art of which the Applicant is aware.

In considering the art set forth below, it may be noted by the Examiner that certain of the references may contain markings, underlinings or other notations. These markings or notations are

not to be construed as drawing the Examiner's attention either to selected parts or away from other parts of the references. Any such markings were either present on the copies of the references obtained by Applicant, or were made thereon during the study of the references by the Applicant and/or his attorneys.

The Examiner is respectfully requested to consider each of the cited references, indicate such consideration by initialing each reference on the enclosed Form PTO-1449 (modified) and return a copy of the same with the next communication to the Applicant. For the convenience of the Examiner in considering the references, copies of the cited references are enclosed with this communication.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'S. R. Greenfield', is written over the typed name and registration number.

Steven R. Greenfield  
Reg. No. 38,166

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<b>Supplemental Form PTO-1449 Modified</b>  <b>List of Patents and Publications Cited by Applicant (Use several sheets if necessary)</b>  <b>U.S. Patent Department of Commerce Patent and Trademark Office</b>	<b>Docket No.:</b> 33726-00020USPT	<b>Serial No.:</b> Not Yet Assigned
	<b>Applicants:</b> PHUA, et al.	
	<b>Filing Date:</b>	<b>Group:</b>



**U.S. PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Name	Class	Subclass
	A-1	5727226	Mar. 10, 1998	Blaum et al.	395	800
	A-2	5510912	Apr. 23, 1996	Blaum et al.	359	21
	A-3					

**FOREIGN PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Country	Translation	
					Yes	No
	B-1					
	B-2					
	B-3					

**OTHER DOCUMENTS**

Examiner Initials		Author, Title, Date, Pertinent Pages, Etc.
	C-1	Australian Patent Office Search Report dated August 23, 2000, 5 pages

<b>Date Examined:</b>	<b>Examiner:</b>
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